

Compliance Assessment Scale

Compliance with each indicator will be assessed using a four point scale, as follows:

	General	Processes	People/Resources
Not Addressed	<ul style="list-style-type: none"> The entity has not addressed the required indicator or is unable to demonstrate that the requirements of the indicator are in place and/or are operating effectively and continuously. 	<ul style="list-style-type: none"> Processes are non-existent. Processes exist however the specific requirements of the indicator have not been addressed. 	<ul style="list-style-type: none"> No resources have been assigned.
Initial/Ad-Hoc	<ul style="list-style-type: none"> The entity has commenced to address the indicator, however processes are ad-hoc or are applied on a case-by-case basis. 	<p>Some relevant processes have been implemented which align with the requirements of the indicator, however they are:</p> <ul style="list-style-type: none"> siloes; undocumented; inconsistent; lack clarity. 	<ul style="list-style-type: none"> Capabilities vary across the entity. Resources are not formally assigned.
Defined and Developed	<ul style="list-style-type: none"> The entity has addressed the indicator and is in the process of implementing the requirements. 	<ul style="list-style-type: none"> Relevant processes have been defined and developed, however are yet to be rolled out across the full operations of the entity. 	<ul style="list-style-type: none"> Resources have been assigned and responsibilities defined, however there is no formal training or communication of standard procedures and it is unlikely that deviations will be detected.
Managed and Measurable	<ul style="list-style-type: none"> The entity has demonstrated that indicator requirements are formally embedded and are operating effectively and continuously. 	<ul style="list-style-type: none"> Relevant processes are integrated and coordinated and extend to remote operations and activities. 	<ul style="list-style-type: none"> Personnel have been trained to detect and report on deviations or break down in processes. Resources have been assigned to monitor and address non-compliance.